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## **TRANSMITTAL FORM**

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	Application Number	10/533,914
	Filing Date	05/05/2005
	First Named Inventor	Gary Haughton
	Art Unit	1723
	Examiner Name	David L. Sorkin
	Attorney Docket Number	P1112US04

ENCLOSURES (Check all that apply)					
	nsmittal Form	Drawing(s)  Licensing-related Papers	After Allowance Communication to TC  Appeal Communication to Board of Appeals and Interferences		
Extension  Express  ✓ Informat  Certified  Docume	` '	Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Add Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on CD Remarks	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please Identify below):  Return receipt Postcard  1 bound volume of Foreign Patents  4 bound volumes of Non Patent Literature Documents		
Incompl	o Missing Parts/ lete Application Reply to Missing Parts under 37 CFR 1.52 or 1.5	NATURE OF APPLICANT, ATTORN	IEY. OR AGENT		
Firm Name	Hofbauer/Associates	1			
Signature	11/1/				
Printed name	Patrick V. Hofbauer				
Date	March 16, 2006	Reg	J. No. 32,336		
CERTIFICATE OF TRANSMISSION/MAILING  I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with					
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known				
Application Number	10/533,914			
Filing Date	05/05/2005			
First Named Inventor	Gary Haughton			
Art Unit	1723			
Examiner Name	David L. Sorkin			
Attorney Docket Number	P1112US04			

				DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 6,830,369	12-14-2004	Haughton et al.	
		<sup>US-</sup> 6,070,348	06-06-2000	Bianchetti	
		<sup>US-</sup> 6,007,237	12-28-1999	Latto	
		<sup>US-</sup> 5,947,784	09-07-1999	Cullen	
		<sup>US-</sup> 5,813,760	09-29-1998	Strong	
		<sup>US-</sup> 5,738,018	04-14-1998	Burnett	
		<sup>US-</sup> 5,100,242	03-31-1992	Latto	
		<sup>US-</sup> 5,052,813	10-01-1991	Latto	
		<sup>US-</sup> 4,534,914	08-13-1985	Takahashi et al.	
		<sup>US-</sup> 4,440,616	04-03-1984	Houseman	
		<sup>US-</sup> 4,439,300	03-27-1984	Houseman	
		<sup>US-</sup> 4,319,971	03-16-1982	Good et al.	
		<sup>US-</sup> 4,302,318	11-24-1981	Mock	
		<sup>US-</sup> 4,189,362	02-19-1980	Dotson	
		us- 4,169,681	10-02-1979	Kato	
		<sup>US-</sup> 4,125,439	11-14-1978	Fleischmann et al.	
		<sup>US-</sup> 4,054,503	10-18-1977	Higgins	
		<sup>US-</sup> 3,912,237	10-14-1975	Ostberg et al.	
		<sup>US-</sup> 3,560,366	02-02-1971	Fisher	

		FORE	IGN PATENT DOCU	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3 "</sup> Number <sup>4 "</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	MM-DD-YYYY		Or Relevant Figures Appear	T⁵
		DE 75 04 145 U	06-19-1975	Muller		
<u> </u>		SU 858 898 A	08-30-1981	Umamskii		
		SU 967 541 A	10-23-1982	Fomenko		
		FR 2 605 244 A	04-22-1988	Bionaz		
		WO 02/083280 A1	10-24-2002	Amosco Technology Ltd.		
		JP 11 184 420 A	07-09-1999	Mitsubishi Electric Corp.		

Examiner		Date	
Signature		Considered	
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Subs	stitute for form 1449/PT	ro		Complete if Known		
Oub	diate for form 1440%			Application Number	10/533,914	
INI		ON DISC	LOCUE	Filing Date	05/05/2005	
INFORMATION DISCLOSURE				First Named Inventor	Gary Haughton	
STATEMENT BY APPLICANT			PLICANT	Art Unit	1723	
(Use as many sheets as necessary)				Examiner Name	David L. Sorkin	
Sheet	2	of	5	Attorney Docket Number	P1112US04	

	T			DOCUMENTS	T 0 0.1
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 3,214,148	10-26-1965	Thomas	
		<sup>US-</sup> 2,661,938	12-08-1953	Kuentzel et al.	
		<sup>US-</sup> 2,615,692	10-28-1952	Muller	
		<sup>US-</sup> 2,557,503	06-19-1951	Hogaboom, Jr.	
		<sup>US-</sup> 2,064,402	12-15-1936	Bannister et al.	
		<sup>US</sup> - 1,408,596	03-07-1922	Heinrich	
		<sup>US-</sup> 1,345,312	06-29-1920	Blake	
		<sup>US-</sup> 567,503	09-08-1896	Pelatan & Clerici	
		<sup>US-</sup> 52,890	02-27-1866	Ryerson	
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		FORE	IGN PATENT DOCU	IMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> ( <i>if known</i> )	MM-DD-YYYY		Or Relevant Figures Appear	T°
		GB 1 147 378 A	04-02-1969	Davis		
***		JP 63104638	05-10-1988	Yoshida Masatoshi		
		DE 7416500	08-22-1974	Messerschmit-Bolkow-Blohm		L
		FR 1 604 693 A	01-03-1972	Institit Merieux		

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Substitut	te for form 1449/PT	<u> </u>			Complete if Known
Gubada	10 101 101111 1440/1 11			Application Number	10/533,914
INFO	ORMATIO	N DIS	CLOSURE	Filing Date	05/05/2005
STA	TEMENT	<b>BY AI</b>	PPLICANT	First Named Inventor	Gary Haughton
	(Use as many s			Art Unit	1723
	(USe as many t	sneets as ne	cessary)	Examiner Name	David L. Sorkin
Sheet	3	of	5	Attorney Docket Number	P1112US04

		NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
		TURNER, J.S. Intermittent Release of Smoke from Chimneys. Journal of Mechanical Engineering Science 1960, Vol. 2, No. 2, pages 97-100.		
		KENDIG, F. The Science of Smoke Rings and Doughnuts. Saturday Review, March 18, 1972, pages 40 and 44.		
		MAXWORTHY, T. Turbulent Vortex Rings. J. Fluid Mech. 1974, Vol. 64, Part 2, pages 227-239.		
		BAIRD et al. Velocity and Momentum of Vortex Rings in Relation to Formation Parameters. The Canadian Journal of Chemical Engineering, February 1977, Vol. 55, pages 19-26.		
		MAXWORTHY, T. Some Experimental Studies of Vortex Rings. J. Fluid Mech. 1977, Vol. 81, Part 3, pages 465-495.		
		SAFFMAN, P.G. The Number of Waves on Unstable Vortex Rings. J. Fluid Mech. 1978, Vol. 84, Part 4, pages 625-639.		
		ROHATGI, A. Mixing Effects and Hydronamics of Vortex Rings. Ph.D. Thesis at McMaster University, Hamilton, Ontario, June 1978.		
		PULLIN, D.I. Vortex Ring Formation at Tube and Orifice Openings. Phys. Fluids 22(3), March 1979, pages 401-403.		
		ROHATGI et al. Mixing Effects and Hydrodynamics of Vortex Rings. The Canadian Journal of Chemical Engineering, August 1979, Vol. 57, pages 416-424.		
		DIDDEN, N. On the Formation of Vortex Rings: Rolling-Up and Production of Circulation. Journal of Applied Mathematics and Physics (ZAMP) 1979, Vol. 30, pages 101-116.		

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Examiner	 Date	 	
Signature	Considered		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Substitut	10 10 10 111 1445 17			Application Number	10/533,914
INFO	DRMATION	DIS	CLOSURE	Filing Date	05/05/2005
STA	TEMENT B	BY A	PPLICANT	First Named Inventor	Gary Haughton
	(the se many short			Art Unit	1723
	(Use as many she	eis as n	ecessary)	Examiner Name	David L. Sorkin
Sheet	4	of	5	Attorney Docket Number	P1112US04

	1 00	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	,	Ontario Ministry of the Environment, Water Resources Branch, Province of Ontario. Hamilton Harbour Study 1977, March 1981, pages 1-A11.	
		ROHATGI et al. Gas Absorption at a Liquid Surface Agitated by Vortex Rings. The Canadian Journal of Chemical Engineering, June 1981, Vol. 59, pages 303-309.	
		GLEZER, A. An Experimental Study of a Turbulent Vortex Ring. Ph.D. Thesis at California Institute of Technology, Pasadena, California, 1981.	
		SU 858 898B (UMANSKII, M.P.) 1981.08.30. (abstract), Soviet Patent Abstracts, Week 198225, London: Derwent Publications Ltd., AN 1982-51962E.	
		SU 967 541 A (FOMENKO, Y.) 1982.10.23. (abstract), Soviet Patent Abstracts, Week 198334, London: Derwent Publications Ltd., AN 1983-745919.	
		AHMAD et al. Mixing of Stratified Liquids. Chem. Eng. Res. Des. May 1985, Vol. 63, pages 157-167.	
		LATTO, B. "New Mixer for Slurries and Stratified Fluids" In: Proc. 12th Int. Conf. on Slurry Technology: March 31-April 3, 1987, New Orleans, Louisiana, U.S.A	
		JP 63 104638 A (MASATOSHI). Patent Abstracts of Japan, Vol. 12, no 344 (C-528), 1988.05.10 (abstract).	
		LATTO et al. Mixing of Thermally Stratified Fluids by Injecting a Series of Vortex Rings – A Numerical Simulation. Trans IChemE, September 1990, Vol. 68, Part A, pages 457-463	
-		LATTO et al. "Use of Vortex Rings for Mixing". In: Industrial Applications of Fluid Mechanics 1990, ASME, New York, FED, Vol. 100, pages 79-86.	

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Signature	Considered

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Substitute for form 1449/PTO				Complete if Known		
Qubstitut	10 10 10 11 14 5 11 10			Application Number	10/533,914	
INFO	ORMATION	DIS	CLOSURE	Filing Date	05/05/2005	
STA	TEMENT B	SY A	PPLICANT	First Named Inventor	Gary Haughton	
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(Use as many sheets as necessary)			ecessary)	Examiner Name	David L. Sorkin	
Sheet	5	of	5	Attorney Docket Number	P1112US04	

NON PATENT LITERATURE DOCUMENTS						
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		HUA, F. Numerical Simulation and Experimental Study of the Behaviour of Vortex Rings. Ph.D. Thesis at McMaster University, Hamilton, Ontario, 1994.				
		JP 11 184 420 A (MISTUBISHI ELECTRIC CORP.) 1999.07.09 (abstract). Japan Patent Abstracts, Section PQ, Week 199938, London: Derwent Publications Ltd., Class P85, AN 1999-44842				
		JP 11 184 420 A (MISTUBISHI ELECTRIC CORP.) Patent Abstracts of Japan, Vol. 1999, no. 12, 1999.07.09 (abstract).				

Examiner	Date	
Signature	Considered	

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